

DATE: 17/10/2024

**REQUEST FOR QUOTATION: No. RFQ/009/2024
FOR THE ESTABLISHMENT OF TWO PLUS ONE YEAR (2+1=3) AGREEMENT FOR THE
PROVISION OF CUSTOMS CLEARANCE SERVICES**

QUOTATION TO BE RECEIVED BY: 30/10/2024 @ 23:59 (GMT+3)

The Office of the United Nations High Commissioner for Refugees (UNHCR), established on December 14, 1950, by the United Nations General Assembly¹, requests your price quotation for the establishment of a Frame Agreement for the provision of **CUSTOMS CLEARANCE SERVICES** as specified in this Request for Quotation (RFQ).

IMPORTANT: The estimated annual requirements are indicated in Annex A. Please note that figures have been stated in order to enable bidders to have an indication of the projected yearly requirements. It does not represent a commitment that UNHCR will purchase a minimum quantity of services. Quantities may vary and will depend on the actual requirements and funds available regulated by issuance of individual Purchase Orders against the Frame Agreement. The successful bidder(s) will be requested to maintain their quoted price model for the duration of the Frame Agreement(s). UNHCR reserves the right to select more than one Contractor to provide all or part of the required services.

- **REQUIREMENTS**

- The customs clearance company, authorized through a power of attorney, will assume full responsibility for managing and executing all customs clearance procedures throughout Türkiye. This includes, but is not limited to, the processing of documentation, compliance with regulatory requirements, and facilitation of imports and exports across all modes of transportation, including **maritime ports, airports, railways, and roadways**. The company will ensure adherence to all applicable customs laws and regulations, effectively handling the intricacies of cross-border logistics and ensuring the smooth transit of goods through Turkey's comprehensive transport network.

- **Cross Border Operations**

- As and when requested by UNHCR, facilitate customs clearance and weight bridge services for bonded and non-bonded 25 MT / 13.6-meter semi-trailer trucks at the UN hubs at the border crossing point Reyhanli Cilvegozu (Bab al Hawa) Kilis / Oncupinar (Bab al Salam), Kilis /Cobanbey (Al-Rai) or as required by UNHCR, Customs offices or the UN Logistics Clusters in other locations.
- The Contractor shall ensure cargo is cleared correctly and in a timely manner.
- Location: Reyhanli - Cilvegozu (Bab-Al-Hawa), Kilis / Oncupinar (Bab al Salam), Kilis /Cobanbey (Al-Rai) or as required by UNHCR, Customs offices or the UN Logistics Clusters in other locations.
- Frequency of Services: Regular service on weekly basis.

Please include the following price information in Financial Offer Form (Annex C)

- Currency: USD **or** TRY
- Customs clearance Fees per truck
- Weighbridge fees per truck
- Weekend rates
- Total cost for services (all inclusive)

Please note following important information:

- UNHCR has tax and duty exemption status. (VAT exemption certificate shall be provided to the awarded company).
- Your offers must be given without VAT and in USD **or** TRY currency.
- Your offer must be all inclusive.

¹ For further information on UNHCR, please see <http://www.unhcr.org>

IMPORTANT: Your Company must have been in business for a minimum of three (3) years. Companies which are in business for less than 3 years shall be disqualified.

UNHCR reserves the right not to retain bid(s) submitted by a vendor that has performed poorly in the past 12 calendar months in terms of quality defaults, late deliveries and/or non-compliance with the contractual terms and conditions.

Other United Nations Agencies, Funds and Programmes shall be entitled to the same prices and terms as those contained in the proposals of the successful bidders and could form the basis for a Contract with other UN Agencies.

1. RFQ SUBMISSION

We would appreciate receiving your quotation on or before **30/10/2024 – 23:59 hrs. TRT (GMT+3)** by e-mail, in PDF format, to **TURANSUP@UNHCR.ORG**.

Please be aware that the e-mail policy employed by UNHCR limits the size of attachments to a maximum of **18 Mb**, so it may be necessary to send more than one e-mail for the whole submission.

Please indicate in the e-mail subject field:

- RFQ/009-2024
- Name of your firm
- Number of e-mails that are sent (for example: 1/2, 2/2)

Your e-mail must include following documents:

- Annex B – Technical Offer Form - signed and stamped.
- Annex C – Financial Offer Form - signed and stamped.
- Annex D – Vendor Registration Form
- Annex E – UNHCR's General Conditions of Contracts for the Provision of Services-2018, signed and stamped.
- Annex F – UN Supplier Code of Conduct, signed and stamped.

Your quotation must be valid at least for 60 days. The standard payment terms of UNHCR is net 30 days upon satisfactory delivery of services and acceptance thereof by UNHCR.

Please find attached in ANNEX E UNHCR's General Conditions of Contracts for the Provision of Services-2018. **You must clearly indicate in your quotation that you accept them.**

Thank you for your kind attention.





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Annex A,

TERMS OF REFERENCE (TOR) CUSTOMS CLEARANCE SERVICES

I. BACKGROUND AND OBJECTIVE

The Office of the United Nations High Commissioner for Refugees (UNHCR) in Türkiye intends to enter into a Frame Agreement with one or more Contractors for the provision of Customs Clearance Services.

The objective of this request for proposal is to engage a registered, professional, and dedicated Contractor(s) of Customs Clearance to service the UNHCR operation in Türkiye. Any resulting contract (hereinafter called "the Contract") shall be non-exclusive.

UNHCR reserves the right to select more than one Contractor to provide all or part of the required services.

IMPORTANT: The customs clearance company, authorized through a power of attorney, will assume full responsibility for managing and executing all customs clearance procedures throughout Türkiye. This includes, but is not limited to, the processing of documentation, compliance with regulatory requirements, and facilitation of imports and exports across all modes of transportation, including **maritime ports, airports, railways, and roadways**. The company will ensure adherence to all applicable customs laws and regulations, effectively handling the intricacies of cross-border logistics and ensuring the smooth transit of goods through Turkey's comprehensive transport network.

II. GENERAL REQUIREMENTS

1. Overview of Required Services

The Contractor shall provide the following services on a priority basis:

- 1.1. As and when requested by UNHCR, facilitate customs clearance at the point of entry and/or exit as required in Türkiye according to information specified in writing by UNHCR. The Contractor shall ensure cargo is cleared correctly and in a timely manner.
- 1.2. Manage all logistic functions at the designated point/s of entry and/or exit into Türkiye. This will include the provision of all necessary equipment, qualified personnel, and handling services including, but not limited to, the receipt, packing/unpacking, loading/unloading, stuffing/de-stuffing, and storage of UNHCR shipments.
- 1.3. Prepare all customs clearance documentation according to UNHCR instructions and in adherence with all relevant laws and regulations.
- 1.4. Be responsible for the safe handling, carrying, and storage of shipments on behalf of UNHCR until further instructions are provided. The Contractor will be liable for any damage/loss while shipments are in their custody.
- 1.5. Monitor and inform standing containers and notify UNHCR prior to any demurrage/storage and loading/offloading fees occur upon an inspection during the process of custom clearance.
- 1.6. Check and retain all documentation and immediately notify UNHCR should any documentation or shipment not match or be damaged/missing/incomplete. This includes ensuring that the specifications included in documentation from UNHCR (gross weight, dimensions etc.) is in accordance with each shipment.
- 1.7. Provide customs clearance certificates and release orders where applicable.



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- 1.8. Check and control for Custom Clearance Documents (Packing List, Gift Certificate, COO, HS Codes etc.) prior to shipment in order to avoid any delay when the shipment arrives.
 - 1.9. Maintain status reports on each shipment to be shared with UNHCR e.g. weekly as applicable or on demand.
 - 1.10. UNHCR does not guarantee a minimum volume of shipments to be handled by the Contractor.
 - 1.11. Advise on any changes in Government policy in relation to customs clearance.
 - 1.12. All written communication shall be in English is preferable.
 - 1.13. Provide all necessary documentations with UNHCR after custom clearance has been finalized.
 - 1.14. If the inspection takes place `Loading/Offloading Labor cost for CONTAINERS (D20' & D40' / HC40') or 25 MT Trucks, the service provider will notify UNHCR in advance for payment procedures.
 - 1.15. **Power of Attorney:** UNHCR will provide Power or Attorney to the successful company to clear goods on its behalf. If needed necessary approval from Notary will be provided.
- Should the Contractor fail to provide the above services in accordance with the contract with UNHCR, UNHCR reserves the right to seek the required services elsewhere.

2. Personnel

The Contractor is required to meet the following personnel specifications:

- 2.1. Provide one or more focal points that can be contacted at all times and is preferably proficient in English, both written and speaking who is responsible for liaising with UNHCR.
- 2.2. Assign a sufficient number of personnel with the necessary expertise and knowledge to fulfill the requirements and which will provide services to UNHCR on a priority basis.
- 2.3. Ensure enough experienced and qualified personnel are available to respond, within a reasonable extent, to emergency situations and rapid response outside of regular working hours, if necessary.
- 2.4. UNHCR reserves the right to request the assignment of additional personnel or the replacement of personnel dealing with UNHCR's shipments, and the Contractor shall comply with such requests.
- 2.5. Provide the CVs of all personnel assigned to working with UNHCR.

3. Mandatory Pre-Conditions

The Contractor is required to meet the following qualifications:

- 3.1. Authorized to perform customs clearance services in Türkiye by the holding of valid relevant documents e.g. business license, any relevant certificates.
- 3.2. Sufficient capacity to undertake the required services e.g. customs clearance expertise/experience, specific knowledge regarding local customs clearance regulations and how they apply to humanitarian organizations, trained personnel, access to any required equipment.
- 3.3. Fully insured in accordance with any relevant legislation/UNHCR requirements.

4. Frequency of Shipments

The estimated export and import number of trucks/containers is 75 annually. However, this number is indicative only.

5. Fees and Payment

The Contractor is required to meet the following requirements:

- 5.1. Abide by the prices quoted in the Financial Offer Form for the duration of the Contract (Annex C).
- 5.2. The Contractor shall invoice UNHCR on a monthly basis.
- 5.3. All invoices submitted to UNHCR must include the corresponding UNHCR Purchase Order number, a detailed breakdown of the services rendered and their corresponding charges with any relevant supporting documents attached GRN, Waybill etc. as



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applicable.

- 5.4. Inform UNHCR in a timely manner and obtain approval of any activity that may impose any additional financial obligations.
- 5.5. If, in UNHCR's sole opinion, UNHCR is satisfied that the services have been provided satisfactorily, UNHCR shall pay the Contractor's invoice within 30 days of receipt thereof. UNHCR payment term is net 30 days upon submission of invoice.

III. GENERAL REQUIREMENTS FOR SYRIA CROSS BORDER OPERATIONS:

The Contractor will be responsible for:

1. Clearing of all relief goods intended for transhipped to Syria in accordance with the customs regulations in Türkiye. The Contractor shall ensure cargo is cleared correctly and in a timely manner.
2. Weighing of Syrian and Turkish trucks upon entering Turkish territory and after loading before crossing the border back into Syria, and for Turkish trucks upon their entrance and exit from the transshipment Hub.
3. Timely advise UNHCR on required formalities to be followed before, during, and after relief items are transhipped to ensure the smooth running of the cross-border humanitarian activities. Provision of all human resources and assets required to fulfil the tasks.
4. Adhere to security measures put in place in the Hub by the United Nations Department of Safety and Security (UNDSS).
5. Align all clearing activities to fit in the United Nations Logistics Cluster standard operating procedures.
6. Payment of all necessary fees to custom officials. UNHCR may request Custom Official Fees to be paid against the allowance rates defined by the Custom Directorate. Invoices/payment slips must be presented to UNHCR for reimbursement.
7. Prepare all customs clearance documentation according to UNHCR instructions and in adherence with all relevant laws and regulations.
8. Check and retain all documentation and immediately notify UNHCR should any documentation or shipment not match or be damaged/missing/incomplete. This includes ensuring that the specifications included in documentation from UNHCR (gross weight, dimensions etc) is in accordance with each shipment.
9. Provide customs clearance certificates and release orders where applicable.
10. All written communication shall be in English if applicable.

Type and capacity of Trucks: Bonded and Non-bonded 25MT/13.6-meter semi-trailer trucks.

Frequency of Transhipment: Two to three times per week on average. Based on actual operational requirements, the services may be required during the weekends. Please note that the frequency of transhipments may vary according to operational requirements. The information provided hereby is only for estimation purposes.

Estimated quantity/scope of service provision: The estimated number of trucks per year is **200** for Reyhanlı Cilvegözü (Bab al-Hawa), **20** for Kilis/Oncupınar (Bab al-Salam), and **20** for Kilis/Çobanbey (Al-Rai). Additionally, there are provisions for **10** trucks for overtime services on Monday through Friday after 17:00, and **10** for weekends. It's important to note that these figures are indicative only and do not represent a commitment that UNHCR will purchase a minimum quantity of services.



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Number of Trucks used per weekly transshipment: Minimum 1 - Maximum 20 trucks per transshipment/per week. Please note that the number of trucks may vary according to operational requirements. The number of trucks provided herein is only for estimation purposes.

Working Hours: On the day of the transshipment the customs clearing agent must be in the transshipment area (UN HUB) from 08:00 in the morning until the completion of the activity. The normal working hours legislation will be applied (Monday to Friday, 08:00 – 17:00) during the execution of services. The extra hours may be needed based on operational requirements and will be duly communicated, and any fees related to overtime will be charged to UNHCR.

Documentation: The contractor is required to submit accreditation or valid licence(s) which authorizes them to carry out customs clearance services in Türkiye.

The Contractor is required to meet the following requirements:

- Abide by the prices quoted in the Financial Offer Form for the duration of the Contract .
- The Contractor shall invoice UNHCR on a monthly basis.
- All invoices submitted to UNHCR must include the corresponding UNHCR Purchase Order number, a detailed breakdown of the services rendered and their corresponding charges with any relevant supporting documents attached, i.e., Details of trucks provided with customs service, breakdown of expenditures, waybills and GRNs.
- Inform UNHCR in a timely manner and obtain approval of any activity that may impose any additional financial obligations.

**UNHCR**United Nations High Commissioner for Refugees
Haut Commissariat des Nations Unies pour les réfugiés**REQUEST FOR QUOTATION: No. RFQ/009/2024****Annex B,****TECHNICAL OFFER FORM**
CUSTOMS CLEARANCE SERVICE

Required Service	Type of Truck	Size of Trucks	Frequency of Service	Working Hours	Transshipment Locations	Payment Terms	Please insert confirmation. If service can be provided in full compliance with Annex A
Customs Services	Bonded	25 MT/13.6 Semi-Trailer	Approx. 2-3 per week	08:00	All Türkiye border crossings and additionally cross border operations that will take place from gates; Reyhanli/Cilvegozu (Bab al Hawa), Kilis / Oncupinar (Bab al Salam), Kilis /Cobanbey (Al-Rai) or as required by UNHCR, Customs offices or the UN Logistics Clusters in other locations.	Monthly Payment	Yes / No (Please circle one of the options)
	Non-Bonded			17:00			
Weighbridge Services	Bonded	25 MT/13.6 Semi-Trailer	Approx. 2-3 per week	08:00	Reyhanli/Cilvegozu (Bab al Hawa), Kilis / Oncupinar (Bab al Salam), Kilis /Cobanbey (Al-Rai) or as required by UNHCR, Customs offices or the UN Logistics Clusters in other locations.	Monthly Payment	Yes / No (Please circle one of the options)
	Non-Bonded			17:00			
Confirmation that an accreditation and/or valid licence to carry out the custom clearance services in Türkiye is submitted with the Technical Offer (mandatory).					YES / NO		
Confirmation of sufficient capacity to undertake the required custom clearance services in all Türkiye border gates.					YES / NO		
At least 3 years valid Customs clearance licence/expertise/experience, number of trained personnel, access to any required custom zones etc. as detailed in Annex A. Valid licence(s) for the required services and details of currently trained personnel have been provided with the technical offer					YES / NO		



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<p>Specific knowledge regarding local customs clearance regulations and how they apply to humanitarian organisations, any experience with UN entities NGOs etc. Details on similar operations in the past 3 years has been provided with the technical offer, including volume, duration of the contract, and any other relevant details</p>	<p>YES / NO</p>
<p>Annex D (Vendor Registration Form), Annex E (UNHCR General Conditions of Contracts), and Annex F (UN Supplier Code of Conduct) are submitted with duly authorized signatures and stamps.</p>	<p>YES / NO</p>
<p>Offer validity (min 60 days is mandatory)</p>	<p>_____ DAYS</p>

REQUEST FOR QUOTATION: No. RFQ/009/2024
PROVISION OF CUSTOMS CLEARANCE SERVICES
ANNEX C – FINANCIAL OFFER

EXPORT PROCEDURES FOR ALL CUSTOMS (PER DECLARATION) / İHRACAT İŞLEMLERİ TÜM GÜMRÜKLER İÇİN (HER BEYANNAME)			
CODE	Description	Hizmet Konusu	*Bidder's Proposal as a percentage (%) of the Governmental Base Rate
İHR-1	Final Export Procedures (Including Inward Processing)	Kesin İhracat İşlemleri (Dahilde İşleme Dahil)	Land/Air: Sea:
İHR-2	Temporary Export Procedures (Including Outward Processing)	Geçici İhracat İşlemleri (Hariçte İşleme Dahil)	
İHR-3	ATA Carnet Procedure	ATA Karneli işlem	
İHR-4	Non-Commercial Exit (Free of Charge)	Gayri Ticari Çıkış (Bedelsiz)	
İHR-5	Export Procedures via Second Customs (Excluding Air Freight)	İkinci Gümrük Yoluyla İhracat İşlemleri (Havayolu hariç)	
İHR-6	Shipments and Provisions Procedures (Up to 25 invoices) - An additional 38 TRY will be added for each invoice over 25.	İhrakiye ve Kumanya İşlemleri (25 faturaya kadar)-25 fatura üzeri her fatura için 38 TL ilave edilir.	

Company Name:

Company Signature:

Company Stamp:

Date:

İHR-7	Bulk Goods Export Procedures (Excluding those that do not require mechanical means and facilities for loading and unloading, as well as bulk goods loaded at the company's own facility).	Dökme Eşya İhracat İşlemleri (Yükleme ve boşaltılması mekanik vasıta ve tesis gerektirmeyenler ile dökme eşyanın firmanın kendi tesisinde yüklenenler hariç)	
İHR-8	In addition to the service fees mentioned above, for each subsequent truck/container/vehicle/wagon, etc.	Yukarıda hizmet ücretlerine ilaveten ikinci ve sonraki her Tır/Konteyner/Araç/Vagon v.b.	
İHR-9	If the declaration consists of more than ten items, for each item from the eleventh onward	Beyannamenin ondan fazla kalemden oluşması halinde, on birinci ve sonraki her bir kalem için	

IMPORT PROCEDURES (PER DECLARATION) / İTHALAT İŞLEMLERİ (HER BEYANNAME)			
CODE	<u>Description</u>	Hizmet Konusu	*Bidder's Proposal as a percentage (%) of the Governmental Base Rate
İTH-1	Import Procedures (Land, Air)	İthalat İşlemleri (Kara, Hava Yolu)	<i>Land:</i> <i>Air:</i>
İTH-2	Import Procedures (Imports Arriving by Sea and Processed at the Port)	İthalat İşlemleri (Deniz yoluyla gelip limanda yapılan ithalat)	
İTH-3	Fully Declared Common Simplified Procedure (Additionally for Each Declaration)	Tam Beyanlı Yaygın Basitleştirilmiş Usül (Her beyanname için ayrıca)	
İTH-4	Bulk Agricultural Products Import Procedures	Dökme Tarım Ürünleri İthalat İşlemleri	
İTH-5	Other Bulk Goods Import Procedures	Diğer Dökme Eşya İthalat İşlemleri	
İTH-6	Temporary Import Declaration (Import under the Temporary Import Regime)	Geçici İthalat Beyannamesi (Geçici ithalat rejimi kapsamında ithalat)	

İTH-7	ATA Carnet Procedure	ATA Karneli işlem	
İTH-8	Import under the Inward Processing Regime	Dahilde İşleme Rejimi Kapsamında İthalat	
İTH-11	Import Procedures with a Commitment Letter (Per Commitment Letter)	Taahhütname ile İthalat İşlemleri (Her bir taahhütname başına)	
İTH-12	Non-Commercial Entry (Free of Charge)	Gayri ticari giriş (Bedelsiz)	
İTH-13	For each import declaration, customs brokerage services based on CIF value will be applied progressively as follows, not less than the above fees.	Herbir ithalat beyannamesi itibariyle, yukarıdaki ücretlerden az olmamak üzere, CIF kıymet esasına göre alınacak gümrük müşavirliği hizmetleri aşağıdaki şekilde kademeli olarak uygulanır.	
	a) For transactions with a CIF value of up to 20,000 USD, the amounts specified in the tariff will be applied	a) CIF Kıymeti 0- 20.000 USD'ye kadar olan işlemlerde tarifede belirlenen tutarlar uygulanır.	

	b) For CIF values from 20,001 to 200,000 USD, 0.3% (three per thousand) will be applied to the portion exceeding 20,000 USD. (a+b)	b) CIF Kıymeti (20.001)-200.000 USD'ye kadar, (20.000) USD'yi aşan kısım için %0.3'ü (binde üç) uygulanır.(a+b)	
	c) For CIF values from 200,001 to 2,000,000 USD, 0.1% (one per thousand) will be applied to the portion exceeding 200,000 USD. (a+b+c)	c) CIF Kıymeti 200.001 -2.000.000 USD'ye kadar, 200.000 USD'yi aşan kısım için % 0,1'i (binde bir) uygulanır.(a+b+c)	
	d) For CIF values from 2,000,001 to 10,000,000 USD, 0.01% (one per ten thousand) will be applied to the portion exceeding 2,000,000 USD. (a+b+c+d)	d) CIF Kıymeti 2.000.001 -10.000.000 USD'ye kadar, 2.000.000 USD'yi aşan kısım için % 0,01'i (onbinde bir) uygulanır.(a+b+c+d)	
	e) For transactions with a CIF value exceeding 10,000,000 USD, a minimum fee will be progressively determined as specified above for amounts up to 10,000,000 USD. For transactions exceeding 10,000,001 USD, a minimum fee will be determined by mutual agreement of the parties, not to be less than 0.003% (three per hundred thousand) of the CIF value." (a+b+c+d+e)	e) CIF Kıymeti 10.000.000 USD'den fazla olan işlemlerde, 10.000.000 USD'ye kadar yukarıda belirtilen şekilde kademeli olarak asgari ücret belirlenir. 10.000.001 USD'den fazla olan işlemlerde ise CIF kıymetin %0,003' (yüz binde üç)'ünden az olmamak üzere, tarafların anlaşmasıyla asgari ücret belirlenir." (a+b+c+d+e)	
İTH-14	In addition to the above service fees, for each subsequent truck/container/vehicle/wagon, etc.	Yukarıdaki hizmet ücretlerine ilaveten ikinci ve sonraki her TIR/Konteyner/Araç/Vagon v.b	

İTH-15	If the declaration consists of more than ten items, for each item from the eleventh onward	Beyannamenin ondan fazla kalemden oluşması halinde, on birinci ve sonraki her bir kalem için	
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TRANSIT PROCEDURES / TRANSİT İŞLEMLERİ			
CODE	<u>Description</u>	Hizmet Konusu	*Bidder's Proposal as a percentage (%) of the Governmental Base Rate
TR-1	Transit Procedures for Goods Not in Free Circulation	Serbest Dolaşımda Bulunmayan Eşyaya İlişkin Transit İşlemleri	
TR-2	Transit Procedures for Goods in Free Circulation (Including Export Accompanying Document)	Serbest Dolaşımda Bulunan Eşyaya İlişkin Transit İşlemleri (İhracat Refakat Belgesi Dahil)	

CUSTOMS BONDED WAREHOUSE PROCEDURES / GÜMRÜK ANTREPO İŞLEMLERİ			
CODE	<u>Description</u>	Hizmet Konusu	*Bidder's Proposal as a percentage (%) of the Governmental Base Rate
ANT-1	Bonded Warehouse Declaration	Antrepo Beyannamesi	
ANT-2	Bonded Warehouse Declaration (Bulk Goods)	Antrepo Beyannamesi (Dökme Eşya)	
ANT-3	Transfer of Goods in Bonded Warehouse	Antrepoda Eşya Devri	
ANT-4	In addition to the above service fees, for each subsequent truck/container/vehicle/wagon	Yukarıdaki Hizmet Ücretlerine İlaveten ikinci ve Sonraki Her TIR/Konteyner/Araç/Vagon için	

SPECIAL PROCEDURES / ÖZELLİK ARZ EDEN İŞLEMLER			
CODE	<u>Description</u>	Hizmet Konusu	*Bidder's Proposal as a percentage (%) of the Governmental Base Rate
SB-1	Free Zone Transaction Forms (For 1 form)	Serbest Bölge İşlem Formları (1 adet form için)	
ÖZ-1	Release of guarantees, including partial refund procedures, return and removal procedures, and the release of customs-held deposits (For each deposit)	Kısmi iade işlemleri de dahil teminatların çözümü, Geri verme ve kaldırma işlemleri, Gümrükçe alınan depozitoların çözümü (Her depozito için)	
ÖZ-2	Separate procedures (Customs declaration) for each transaction.	Müfrez işlemler (Gümrük beyannamesi) her işlem için	
ÖZ-3	Temporary Import Permit - Inward Processing Permit - Binding Tariff Information - Binding Origin Information - INF Document Procedures - Entry Permit - Outward Processing Permit - Final Use Permit	Geçici İthalat İzni- Dahilde İşleme İzni- Bağlayıcı Tarife Bilgisi- Bağlayıcı Menşe Bilgisi - INF Belge işlemleri - Küşat İzni- Hariçte İşleme İzni - Nihai Kullanım İzni	

ÖZ-4	DTS - TSE - Agricultural Province - Measurement Calibration - Phytosanitary - Veterinary - Registration Certificate - Expert Acquisition - Consulate Approvals - Liquidation CIF %1, %3, and %10 Procedures - TPS Application Procedures (Excluding Investment Incentive Certificate) - IRIS - İTKİB Sample Collection Procedures - Laboratory Analysis (Chemical Laboratory) Procedures.	DTS-TSE-Tarım İl - Ölçü Ayar-Zirai Karantina-Veterinerlik-Kayıt BelgesiEkspertiz Alımı-Konsolosluk Onayları - Tasfiye CIF %1, %3 ve %10 İşlemleri - TPS Başvuru İşlemleri (Yatırım Teşvik Belgesi Hariç) - İRİS - İTKİB Numune Alma İşlemleri - Laboratuvar Tahlili (Kimyahane) İşlemleri	
ÖZ-5	Preparation and certification of circulation and origin proof documents such as A.TR, EUR.1, EUR-MED, Form A issued through MEDOS for Export and Transit Procedures (per document)	İhracat ve Transit İşlemleri için MEDOS üzerinden düzenlenen A.TR, EUR.1, EUR-MED, Form A gibi dolaşım ve menşee ispat belgelerinin tanzim ve tasdik işlemleri (beher belge başına)	

CONSULTING FEES / DANIŞMANLIK ÜCRETLERİ			
CODE	<u>Description</u>	Hizmet Konusu	*Bidder's Proposal as a percentage (%) of the Governmental Base Rate
DAN-1	Oral consultation at the office (up to one hour)	Büroda sözlü danışma (Bir saate kadar)	
DAN-1-1	For each subsequent hour	Takip eden her saat için	
DAN-2	On-site consultation upon request (up to one hour)	Çağrı üzerine gidilen yerde danışma (Bir saate kadar)	
DAN-2-1	For each subsequent hour	Takip eden her saat için	
DAN-3	Written opinion provision	Yazılı görüş bildirme	
DAN-4	Ongoing consultation (Monthly)	Sürekli danışma (Aylık)	

- Prices shall be given in **a single currency** in United States Dollar (USD) **or** Turkish Lira (TRY).
 - Prices shall be given exclusive of VAT or any other tax except stamp duty.
 - The offered prices shall be inclusive of all possible associated costs with required services without VAT, including, but not limited to agent fees, clearing charges, and labour.
 - The estimated quantities are indicative only. They do not represent and nor commit to a minimum consumption of services. Payment will be provided according to actual services rendered following the issuance of Purchase Orders on a monthly basis.
 - Rates shall *exclude* customs duty tax, any overtime caused by delay on behalf of the Contractor, and any formalities other than relevant customs clearance services.
 - ***As a standard government policy, the base price for customs clearance will be updated annually; however, the quoted percentage is to remain unchanged throughout the entirety of the Frame Agreement.**
-

Section 1: Company Details and General Information

1.1 Company name (full legal name)*:

1.2 Additional/Trading Name:

1.3. Company Website:

1.4 Parent Company (Full legal / officially registered company name):

1.5 Names of owners and principals / CEO / Managing Director(s):

1.6 Type of Business (Mark one)*:

Corporate/ Limited Partnership Other (specify)

1.7 Nature of Business:

Manufacturer Authorized agent Trader Consultancy Other

1.8 Company Date of Establishment (dd/mm/yyyy)*:

1.9 Company Identification No*:

1.10 TAX Registration No*:

1.11 Tax Payer ID*:

1.12 TAX Organization Type*:

1.13 Tax Country*:

1.14 Confirm availability of VAT No./ Tax ID Certificate/Proof*:

1.15 Certificate of Incorporation/Regitration available?*

1.16 Confirm translation available in English, French, Spanish, Russian or Chinese*:

Section 2: Address

2.1 Country*:

2.2 City*:

2.3 Address Line 1*:

2.4 Address Line 2:

2.5 Postal code*:

2.6 Email*:

Section 3: Contacts

3.1 First Name*:

3.2 Last Name*:

3.3 Title*:

3.4 Email*:

3.5 Country of business office*:

3.6 Telephone*:

3.7 Tel. Ext.:

Section 4: Banking Information

4.1 Country*:

4.2 Pay to Bank Name*:

4.3 Branch Name:

4.4 Account Name*:

4.5 Account Type*:

4.6 Currency Code*:	
4.7 Bank Account No.*:	
4.8 DFI Qualifier*:	
4.9 IBAN*:	
4.10 DFI ID*:	
4.11 Bank Address Line 1*:	
4.12 Bank Address Line 2:	
4.13 City*:	
4.14 Bank Account Statement available (BAS) “Yes/No”?:*	
4.15 BAS translation available in English?:	
Section 5: Questionnaire	
5.1 Is your company already registered with the United Nations Global Marketplace (UNGM)? *	
UNGM Reg. No.:	
5.2 Is your company aware of UN Secretary General’s Company initiative, which can be viewed at http://www.unglobalcompact.org? *	
5.3 Are you a Travel Agency/ Travel Management Company (TMC)?*	
5.4 Vendors are required to comply with the UN Supplier Code of Conduct.* It can be viewed at https://www.un.org/Depts/ptd/about-us/un-supplier-code-conduct	
After reading the UN Supplier Code of Conduct please confirm “Yes/No” your acceptance to it.*	
5.5 By signing and/or stamping this VRF, potential vendors confirm that they have read, understood and will comply with the UNHCR policy on the “zero tolerance” that strictly prohibits the acceptance of any type of gift and/or hospitality by UN staff members participating in the procurement process. Please confirm.*	
Section 6: Self-Declaration	
I, the undersigned, hereby accept the UNHCR General Conditions, a copy of which has been provided to me, and warrant that the information provided in this form is correct and, in the event of changes, details will be provided as soon as possible.	
I, the undersigned, declare that:	
(a) Our company is not involved in any fraudulent or corrupt activities and has not been in the past, and is not currently under any investigation for any such activities which would render our company unsuitable for business dealing with UNHCR.	
(b) Our company is not on, or associated with a company or individual, groups, undertakings and entities that are on the consolidated list established and maintained by the committee established by the UN Resolution No. 1267 (www.un.org/sc/committees/1267/consolist.html).	
(c) Our company is not on, or associated with a company or individual that are subject to the list of Independent Inquiry Committee into United Nations Oil-for-food programme (www.iic-offp.org).	
(d) Our company is not currently removed, invalidated or suspended by any other UN Headquarters, or Field Offices or any other UN Agencies (including the World Bank)	
Full Name*:	Title*:
Signature and Stamp*:	Date (dd/mm/yyyy)*:

ADDITIONAL INFORMATION FOR COMPLETION:

- The form should be typewritten in uppercase and completed clearly and accurately ensuring that all questions are answered.
- Year Established: 3 years of operation is a minimum.
- Name under which the bank account is held should be the company name. Following UNHCR payment policies, any decisions to permit third party payment are at the sole discretion of UNHCR and are subject to detailed scrutiny and special approval. Any proposed different names on the bank account should be clearly explained and fully justified. A copy of a Bank Statement matching Vendor Name and Address should be provided.
- DFI ID is transit number for US and Canada and Swift code for Europe and the rest of the world.
- Please read carefully the enclosed UNHCR General Term and Conditions, as signing of the form signifies acceptance. The form should be stamped and signed by the person completing it and their name and title should be typed, along with the date, otherwise, official electronic signature may be acceptable.



GENERAL CONDITIONS OF CONTRACT

CONTRACTS FOR THE PROVISION OF SERVICES

1. **LEGAL STATUS OF THE PARTIES:** The United Nations Office of the High Commissioner for Refugees (“UNHCR”) and the Contractor shall also each be referred to as a “Party” hereunder, and:
 - 1.1 Pursuant, *inter alia*, to the Charter of the United Nations and the Convention on the Privileges and Immunities of the United Nations, UNHCR, as a subsidiary organ of the United Nations, has full juridical personality and enjoys such privileges and immunities as are necessary for the independent fulfillment of its purposes.
 - 1.2 The Contractor shall have the legal status of an independent contractor *vis-à-vis* UNHCR, and nothing contained in or relating to the Contract shall be construed as establishing or creating between the Parties the relationship of employer and employee or of principal and agent. The officials, representatives, employees, or subcontractors of each of the Parties shall not be considered in any respect as being the employees or agents of the other Party, and each Party shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.
2. **SOURCE OF INSTRUCTIONS:** The Contractor shall neither seek nor accept instructions from any authority external to UNHCR in connection with the performance of its obligations under the Contract. Should any authority external to UNHCR seek to impose any instructions concerning or restrictions on the Contractor’s performance under the Contract, the Contractor shall promptly notify UNHCR in writing and provide all reasonable assistance required by UNHCR. The Contractor shall not take any action in respect of the performance of its obligations under the Contract that may adversely affect the interests of UNHCR, and the Contractor shall perform its obligations under the Contract with the fullest regard to the interests of UNHCR.
3. **RESPONSIBILITY FOR EMPLOYEES:** The following provisions shall apply:
 - 3.1 The Contractor shall be responsible for the professional and technical competence of the personnel it assigns to perform work under the Contract and will select reliable and competent individuals who will be able to effectively perform the obligations under the Contract and who, while doing so, will respect the local laws and customs and conform to a high standard of moral and ethical conduct.
 - 3.2 Such Contractor personnel shall be professionally qualified and, if required to work with officials or staff of UNHCR, shall be able to do so effectively. The qualifications of any personnel whom the Contractor may assign or may propose to assign to perform any obligations under the Contract shall be substantially the same, or better, as the qualifications of any personnel originally proposed by the Contractor.
 - 3.3 At the option of and in the sole discretion of UNHCR:
 - 3.3.1 the qualifications of personnel proposed by the Contractor (*e.g.*, a curriculum vitae) may be reviewed by UNHCR prior to such personnel’s performing any obligations under the Contract;
 - 3.3.2 any personnel proposed by the Contractor to perform obligations under the Contract may be interviewed by qualified staff or officials of UNHCR prior to such personnel’s performing any obligations under the Contract; and,
 - 3.3.3 in cases in which, pursuant to Article 3.3.1 or 3.3.2, above, UNHCR has reviewed the qualifications of such Contractor’s personnel, UNHCR may reasonably refuse to accept any such personnel.
 - 3.4 Requirements specified in the Contract regarding the number or qualifications of the Contractor’s personnel may change during the course of performance of the Contract. Any such change shall be made only following written notice of such proposed change and upon written agreement between the Parties regarding such change, subject to the following:
 - 3.4.1 UNHCR may, at any time, request, in writing, the withdrawal or replacement of any of the Contractor’s personnel, and such request shall not be unreasonably refused by the Contractor.



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- 3.4.2 Any of the Contractor's personnel assigned to perform obligations under the Contract shall not be withdrawn or replaced without the prior written consent of UNHCR, which shall not be unreasonably withheld.
- 3.4.3 The withdrawal or replacement of the Contractor's personnel shall be carried out as quickly as possible and in a manner that will not adversely affect the performance of obligations under the Contract.
- 3.4.4 All expenses related to the withdrawal or replacement of the Contractor's personnel shall, in all cases, be borne exclusively by the Contractor.
- 3.4.5 Any request by UNHCR for the withdrawal or replacement of the Contractor's personnel shall not be considered to be a termination, in whole or in part, of the Contract, and UNHCR shall not bear any liability in respect of such withdrawn or replaced personnel.
- 3.4.6 If a request for the withdrawal or replacement of the Contractor's personnel is *not* based upon a default by or failure on the part of the Contractor to perform its obligations in accordance with the Contract, the misconduct of the personnel, or the inability of such personnel to reasonably work together with UNHCR officials and staff, then the Contractor shall not be liable by reason of any such request for the withdrawal or replacement of the Contractor's personnel for any delay in the performance by the Contractor of its obligations under the Contract that is substantially the result of such personnel's being withdrawn or replaced.
- 3.5 Nothing in Articles 3.2, 3.3 and 3.4, above, shall be construed to create any obligations on the part of UNHCR with respect to the Contractor's personnel assigned to perform work under the Contract, and such personnel shall remain the sole responsibility of the Contractor.
- 3.6 The Contractor shall be responsible for requiring that all personnel assigned by it to perform any obligations under the Contract and who may have access to any premises or other property of UNHCR shall:
- 3.6.1 undergo or comply with security screening requirements made known to the Contractor by UNHCR, including but not limited to, a review of any criminal history;
- 3.6.2 when within UNHCR premises or on UNHCR property, display such identification as may be approved and furnished by UNHCR security officials, and that upon the withdrawal or replacement of any such personnel or upon termination or completion of the Contract, such personnel shall immediately return any such identification to UNHCR for cancellation.
- 3.7 Not less than one working day after learning that any of Contractor's personnel who have access to any UNHCR premises have been charged by law enforcement authorities with an offense other than a minor traffic offense, the Contractor shall provide written notice to inform UNHCR about the particulars of the charges then known and shall continue to inform UNHCR concerning all substantial developments regarding the disposition of such charges.
- 3.8 All operations of the Contractor, including without limitation, storage of equipment, materials, supplies and parts, within UNHCR premises or on UNHCR property shall be confined to areas authorized or approved by UNHCR. The Contractor's personnel shall not enter or pass through and shall not store or dispose of any of its equipment or materials in any areas within UNHCR premises or on UNHCR property without appropriate authorization from UNHCR.
- 4. ASSIGNMENT:**
- 4.1 Except as provided in Article 4.2, below, the Contractor may not assign, transfer, pledge or make any other disposition of the Contract, of any part of the Contract, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNHCR. Any such unauthorized assignment, transfer, pledge or other disposition, or any attempt to do so, shall not be binding on UNHCR. Except as permitted with respect to any approved subcontractors, the Contractor shall not delegate any of its obligations under the Contract, except with the prior written consent of UNHCR. Any such unauthorized delegation, or attempt to do so, shall not be binding on UNHCR.
- 4.2 The Contractor may assign or otherwise transfer the Contract to the surviving entity resulting from a reorganization of the Contractor's operations, *provided that:*



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- 4.2.1 such reorganization is not the result of any bankruptcy, receivership or other similar proceedings; *and*,
 - 4.2.2 such reorganization arises from a sale, merger, or acquisition of all or substantially all of the Contractor's assets or ownership interests; *and*,
 - 4.2.3 the Contractor promptly notifies UNHCR about such assignment or transfer at the earliest opportunity; *and*,
 - 4.2.4 the assignee or transferee agrees in writing to be bound by all of the terms and conditions of the Contract, and such writing is promptly provided to UNHCR following the assignment or transfer.
5. **SUBCONTRACTING:** In the event that the Contractor requires the services of subcontractors to perform any obligations under the Contract, the Contractor shall obtain the prior written approval of UNHCR. UNHCR shall be entitled, in its sole discretion, to review the qualifications of any subcontractors and to reject any proposed subcontractor that UNHCR reasonably considers is not qualified to perform obligations under the Contract. UNHCR shall have the right to require any subcontractor's removal from UNHCR premises without having to give any justification therefor. Any such rejection or request for removal shall not, in and of itself, entitle the Contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its obligations under the Contract, and the Contractor shall be solely responsible for all services and obligations performed by its subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.
6. **OFFICIALS NOT TO BENEFIT:** The Contractor warrants that it has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Contract or the award thereof to any representative, official, employee, or other agent of UNHCR. The Contractor acknowledges and agrees that any breach of this provision is a breach of an essential term of the Contract.
7. **INDEMNIFICATION:**
- 7.1 The Contractor shall indemnify, defend, and hold and save harmless, UNHCR, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any third party against UNHCR, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to:
 - 7.1.1 allegations or claims that the possession of or use by UNHCR of any patented device, any copyrighted material, or any other goods, property or services provided or licensed to UNHCR under the terms of the Contract, in whole or in part, separately or in a combination contemplated by the Contractor's published specifications therefor, or otherwise specifically approved by the Contractor, constitutes an infringement of any patent, copyright, trademark, or other intellectual property right of any third party; *or*,
 - 7.1.2 any acts or omissions of the Contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.
 - 7.2 The indemnity set forth in Article 7.1.1, above, shall not apply to:
 - 7.2.1 A claim of infringement resulting from the Contractor's compliance with specific written instructions by UNHCR directing a change in the specifications for the goods, property, materials, equipment or supplies to be or used, or directing a manner of performance of the Contract or requiring the use of specifications not normally used by the Contractor; *or*
 - 7.2.2 A claim of infringement resulting from additions to or changes in any goods, property, materials, equipment, supplies or any components thereof furnished under the Contract if UNHCR or another party acting under the direction of UNHCR made such changes.
 - 7.3 In addition to the indemnity obligations set forth in this Article 7, the Contractor shall be obligated, at its sole expense, to defend UNHCR and its officials, agents and employees, pursuant to this Article 7, regardless of whether the suits, proceedings, claims and demands in question actually give rise to or otherwise result in any loss or liability.

7.4 UNHCR shall advise the Contractor about any such suits, proceedings, claims, demands, losses or liability within a reasonable period of time after having received actual notice thereof. The Contractor shall have sole control of the defense of any such suit, proceeding, claim or demand and of all negotiations in connection with the settlement or compromise thereof, except with respect to the assertion or defense of the privileges and immunities of UNHCR or any matter relating thereto, which only UNHCR itself is authorized to assert and maintain. UNHCR shall have the right, at its own expense, to be represented in any such suit, proceeding, claim or demand by independent counsel of its own choosing.

7.5 In the event the use by UNHCR of any goods, property or services provided or licensed to UNHCR by the Contractor, in whole or in part, in any suit or proceeding, is for any reason enjoined, temporarily or permanently, or is found to infringe any patent, copyright, trademark or other intellectual property right, or in the event of a settlement, is enjoined, limited or otherwise interfered with, then the Contractor, at its sole cost and expense, shall, promptly, either:

7.5.1 procure for UNHCR the unrestricted right to continue using such goods or services provided to UNHCR;

7.5.2 replace or modify the goods or services provided to UNHCR, or part thereof, with the equivalent or better goods or services, or part thereof, that is non-infringing; *or*,

7.5.3 refund to UNHCR the full price paid by UNHCR for the right to have or use such goods, property or services, or part thereof.

8. INSURANCE AND LIABILITY:

8.1 The Contractor shall pay UNHCR promptly for all loss, destruction, or damage to the property of UNHCR caused by the Contractor's personnel or by any of its subcontractors or anyone else directly or indirectly employed by the Contractor or any of its subcontractors in the performance of the Contract.

8.2 Unless otherwise provided in the Contract, prior to commencement of performance of any other obligations under the Contract, and subject to any limits set forth in the Contract, the Contractor shall take out and shall maintain for the entire term of the Contract, for any extension thereof, and for a period following any termination of the Contract reasonably adequate to deal with losses:

8.2.1 insurance against all risks in respect of its property and any equipment used for the performance of the Contract;

8.2.2 workers' compensation insurance, or its equivalent, or employer's liability insurance, or its equivalent, with respect to the Contractor's personnel sufficient to cover all claims for injury, death and disability, or any other benefits required to be paid by law, in connection with the performance of the Contract;

8.2.3 liability insurance in an adequate amount to cover all claims, including, but not limited to, claims for death and bodily injury, products and completed operations liability, loss of or damage to property, and personal and advertising injury, arising from or in connection with the Contractor's performance under the Contract, including, but not limited to, liability arising out of or in connection with the acts or omissions of the Contractor, its personnel, agents, or invitees, or the use, during the performance of the Contract, of any vehicles, boats, airplanes or other transportation vehicles and equipment, whether or not owned by the Contractor; *and*,

8.2.4 such other insurance as may be agreed upon in writing between UNHCR and the Contractor.

8.3 The Contractor's liability policies shall also cover subcontractors and all defense costs and shall contain a standard "cross liability" clause.

8.4 The Contractor acknowledges and agrees that UNHCR accepts no responsibility for providing life, health, accident, travel or any other insurance coverage which may be necessary or desirable in respect of any personnel performing services for the Contractor in connection with the Contract.

8.5 Except for the workers' compensation insurance or any self-insurance program maintained by the Contractor and approved by UNHCR, in its sole discretion, for purposes of fulfilling the Contractor's requirements for providing insurance under the Contract, the insurance policies required under the Contract shall:

- 8.5.1 name UNHCR as an additional insured under the liability policies, including, if required, as a separate endorsement under the policy;
 - 8.5.2 include a waiver of subrogation of the Contractor's insurance carrier's rights against UNHCR;
 - 8.5.3 provide that UNHCR shall receive written notice from the Contractor's insurance carrier not less than thirty (30) days prior to any cancellation or material change of coverage; *and*,
 - 8.5.4 include a provision for response on a primary and non-contributing basis with respect to any other insurance that may be available to UNHCR.
- 8.6 The Contractor shall be responsible to fund all amounts within any policy deductible or retention.
- 8.7 Except for any self-insurance program maintained by the Contractor and approved by UNHCR for purposes of fulfilling the Contractor's requirements for maintaining insurance under the Contract, the Contractor shall maintain the insurance taken out under the Contract with reputable insurers that are in good financial standing and that are acceptable to UNHCR. Prior to the commencement of any obligations under the Contract, the Contractor shall provide UNHCR with evidence, in the form of certificate of insurance or such other form as UNHCR may reasonably require, that demonstrates that the Contractor has taken out insurance in accordance with the requirements of the Contract. UNHCR reserves the right, upon written notice to the Contractor, to obtain copies of any insurance policies or insurance program descriptions required to be maintained by the Contractor under the Contract. Notwithstanding the provisions of Article 8.5.3, above, the Contractor shall promptly notify UNHCR concerning any cancellation or material change of insurance coverage required under the Contract.
- 8.8 The Contractor acknowledges and agrees that neither the requirement for taking out and maintaining insurance as set forth in the Contract nor the amount of any such insurance, including, but not limited to, any deductible or retention relating thereto, shall in any way be construed as limiting the Contractor's liability arising under or relating to the Contract.
9. **ENCUMBRANCES AND LIENS:** The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the United Nations against any monies due to the Contractor or that may become due for any work done or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Contractor or UNHCR.
10. **EQUIPMENT FURNISHED BY UNHCR TO THE CONTRACTOR:** Title to any equipment and supplies that may be furnished by UNHCR to the Contractor for the performance of any obligations under the Contract shall rest with UNHCR, and any such equipment shall be returned to UNHCR at the conclusion of the Contract or when no longer needed by the Contractor. Such equipment, when returned to UNHCR, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear, and the Contractor shall be liable to compensate UNHCR for the actual costs of any loss of, damage to, or degradation of the equipment that is beyond normal wear and tear.
11. **COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:**
- 11.1 Except as is otherwise expressly provided in writing in the Contract, UNHCR shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for UNHCR under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract. The Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNHCR.
 - 11.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, UNHCR does not and shall not claim any ownership interest thereto, and the Contractor grants to UNHCR a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

- 11.3 At the request of UNHCR, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNHCR in compliance with the requirements of the applicable law and of the Contract.
- 11.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of UNHCR, shall be made available for use or inspection by UNHCR at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNHCR authorized officials on completion of work under the Contract.
12. **PUBLICITY, AND USE OF THE NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS OR OF UNHCR:** The Contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with the United Nations or UNHCR, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of the United Nations or of UNHCR, or any abbreviation of the name of the United Nations or of UNHCR in connection with its business or otherwise without the written permission of UNHCR..
13. **CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:** Information and data that is considered proprietary by either Party or that is delivered or disclosed by one Party (“Discloser”) to the other Party (“Recipient”) during the course of performance of the Contract, and that is designated as confidential (“Information”)¹, shall be held in confidence by that Party and shall be handled as follows:
- 13.1 The recipient (“Recipient”) of such Information shall:
- 13.1.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser’s Information as it uses with its own similar Information that it does not wish to disclose, publish or disseminate; *and*,
- 13.1.2 use the Discloser’s Information solely for the purpose for which it was disclosed.
- 13.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
- 13.2.1 any other party with the Discloser’s prior written consent; *and*,
- 13.2.2 the Recipient’s employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, *provided that*, for these purposes a controlled legal entity means:
- 13.2.2.1 a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; *or*,
- 13.2.2.2 any entity over which the Party exercises effective managerial control; *or*,
- 13.2.2.3 for UNHCR, a principal or subsidiary organ of the United Nations established in accordance with the Charter of the United Nations.
- 13.3 The Contractor may disclose Information to the extent required by law, *provided that*, subject to and without any waiver of the privileges and immunities of UNHCR, the Contractor will give UNHCR sufficient prior notice of a request for the disclosure of Information in order to allow UNHCR to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- 13.4 UNHCR may disclose Information to the extent as required pursuant to the Charter of the United Nations, or pursuant to resolutions or regulations of the General Assembly or rules promulgated thereunder.

¹ Information and data that is considered by UNHCR as proprietary and confidential includes, but is not limited to, data pertaining to refugees and persons of concern to UNHCR.

13.5 The Recipient shall not be precluded from disclosing Information that is (i) obtained by the Recipient without restriction from a third party who is not in breach of any obligation as to confidentiality to the owner of such Information or any other person, or (ii) disclosed by the Discloser to a third party without any obligation of confidentiality, or (iii) previously known by the Recipient, or (iv) at any time is developed by the Recipient completely independently of any disclosures hereunder.

13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS:

14.1 In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the affected Party shall give notice and full particulars in writing to the other Party, of such occurrence or cause if the affected Party is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The affected Party shall also notify the other Party of any other changes in condition or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in condition or occurrence, the affected Party shall also submit a statement to the other Party of estimated expenditures that will likely be incurred for the duration of the change in condition or the event of *force majeure*. On receipt of the notice or notices required hereunder, the Party not affected by the occurrence of a cause constituting *force majeure* shall take such action as it reasonably considers to be appropriate or necessary in the circumstances, including the granting to the affected Party of a reasonable extension of time in which to perform any obligations under the Contract.

14.2 If the Contractor is rendered unable, wholly or in part, by reason of *force majeure* to perform its obligations and meet its responsibilities under the Contract, UNHCR shall have the right to suspend or terminate the Contract on the same terms and conditions as are provided for in Article 15, "Termination," except that the period of notice shall be seven (7) days instead of thirty (30) days. In any case, UNHCR shall be entitled to consider the Contractor permanently unable to perform its obligations under the Contract in case the Contractor is unable to perform its obligations, wholly or in part, by reason of *force majeure* for any period in excess of ninety (90) days.

14.3 *Force majeure* as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism, or any other acts of a similar nature or force, *provided that* such acts arise from causes beyond the control and without the fault or negligence of the Contractor. The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in areas in which UNHCR is engaged in, preparing to engage in, or disengaging from any humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas, or to any incidents of civil unrest occurring in such areas, shall not, in and of itself, constitute *force majeure* under the Contract.

15. TERMINATION:

15.1 Either Party may terminate the Contract for cause, in whole or in part, upon thirty (30) day's notice, in writing, to the other Party. The initiation of conciliation or arbitral proceedings in accordance with Article 18 "Settlement of Disputes," below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract.

15.2 UNHCR may terminate the Contract at any time by providing written notice to the Contractor in any case in which the mandate of UNHCR applicable to the performance of the Contract or the funding of UNHCR applicable to the Contract is curtailed or terminated, whether in whole or in part. In addition, unless otherwise provided by the Contract, upon sixty (60) day's advance written notice to the Contractor, UNHCR may terminate the Contract without having to provide any justification therefor.

15.3 In the event of any termination of the Contract, upon receipt of notice of termination that has been issued by UNHCR, the Contractor shall, except as may be directed by UNHCR in the notice of termination or otherwise in writing:

15.3.1 take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum;



UNHCR

United Nations High Commissioner for Refugees
Haut Commissariat des Nations Unies pour les réfugiés

- 15.3.2 refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice;
 - 15.3.3 place no further subcontracts or orders for materials, services, or facilities, except as UNHCR and the Contractor agree in writing are necessary to complete any portion of the Contract that is not terminated;
 - 15.3.4 terminate all subcontracts or orders to the extent they relate to the portion of the Contract terminated;
 - 15.3.5 transfer title and deliver to UNHCR the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the portion of the Contract terminated;
 - 15.3.6 deliver all completed or partially completed plans, drawings, information, and other property that, if the Contract had been completed, would be required to be furnished to UNHCR thereunder;
 - 15.3.7 complete performance of the work not terminated; *and*,
 - 15.3.8 take any other action that may be necessary, or that UNHCR may direct in writing, for the minimization of losses and for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Contractor and in which UNHCR has or may be reasonably expected to acquire an interest.
- 15.4 In the event of any termination of the Contract, UNHCR shall be entitled to obtain reasonable written accountings from the Contractor concerning all obligations performed or pending in accordance with the Contract. In addition, UNHCR shall not be liable to pay the Contractor except for those goods delivered and services provided to UNHCR in accordance with the requirements of the Contract, but only if such goods or services were ordered, requested or otherwise provided prior to the Contractor's receipt of notice of termination from UNHCR or prior to the Contractor's tendering of notice of termination to UNHCR.
- 15.5 UNHCR may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that:
- 15.5.1 the Contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, or applies for a moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent;
 - 15.5.2 the Contractor is granted a moratorium or a stay, or is declared insolvent;
 - 15.5.3 the Contractor makes an assignment for the benefit of one or more of its creditors;
 - 15.5.4 a receiver is appointed on account of the insolvency of the Contractor;
 - 15.5.5 the Contractor offers a settlement in lieu of bankruptcy or receivership; *or*,
 - 15.5.6 UNHCR reasonably determines that the Contractor has become subject to a materially adverse change in its financial condition that threatens to substantially affect the ability of the Contractor to perform any of its obligations under the Contract.
- 15.6 Except as prohibited by law, the Contractor shall be bound to compensate UNHCR for all damages and costs, including, but not limited to, all costs incurred by UNHCR in any legal or non-legal proceedings, as a result of any of the events specified in Article 15.5, above, and resulting from or relating to a termination of the Contract, even if the Contractor is adjudged bankrupt, or is granted a moratorium or stay or is declared insolvent. The Contractor shall immediately inform UNHCR of the occurrence of any of the events specified in Article 15.5, above, and shall provide UNHCR with any information pertinent thereto.
- 15.7 The provisions of this Article 15 are without prejudice to any other rights or remedies of UNHCR under the Contract or otherwise.
16. **NON-WAIVER OF RIGHTS:** The failure by either Party to exercise any rights available to it, whether under the Contract or otherwise, shall not be deemed for any purposes to constitute a waiver by the other Party of any such right or any remedy associated therewith, and shall not relieve the Parties of any of their obligations under the Contract.

17. **NON-EXCLUSIVITY:** Unless otherwise specified in the Contract, UNHCR shall have no obligation to purchase any minimum quantities of goods or services from the Contractor, and UNHCR shall have no limitation on its right to obtain goods or services of the same kind, quality and quantity described in the Contract, from any other source at any time.
18. **SETTLEMENT OF DISPUTES:**
- 18.1 **AMICABLE SETTLEMENT:** The Parties shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of the Contract or the breach, termination, or invalidity thereof. Where the Parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law (“UNCITRAL”), or according to such other procedure as may be agreed between the Parties in writing.
- 18.2 **ARBITRATION:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 18.1, above, within sixty (60) days after receipt by one Party of the other Party’s written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 (“Interim Measures of Protection”) and Article 34 (“Form and Effect of the Award”) of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate (“LIBOR”) then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.
19. **PRIVILEGES AND IMMUNITIES:** Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs or of UNHCR (as a subsidiary organ of the United Nations).
20. **TAX EXEMPTION:**
- 20.1 Article II, Section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including UNHCR as one of its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties, and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of UNHCR from such taxes, restrictions, duties, or charges, the Contractor shall immediately consult with UNHCR to determine a mutually acceptable procedure.
- 20.2 The Contractor authorizes UNHCR to deduct from the Contractor’s invoices any amount representing such taxes, duties or charges, unless the Contractor has consulted with UNHCR before the payment thereof and UNHCR has, in each instance, specifically authorized the Contractor to pay such taxes, duties, or charges under written protest. In that event, the Contractor shall provide UNHCR with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized, and UNHCR shall reimburse the Contractor for any such taxes, duties, or charges so authorized by UNHCR and paid by the Contractor under written protest.
21. **OBSERVANCE OF THE LAW:** The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract. In addition, the Contractor shall maintain compliance with all obligations relating to its registration as a qualified vendor of goods or services to UNHCR, as such obligations are set forth in vendor registration procedures.
22. **MODIFICATIONS:**
- 22.1 The Director of the Division for Emergency and Supply Management, or such other contracting authority as UNHCR has made known to the Contractor in writing, possesses the authority to agree on behalf of UNHCR to any modification of or change in the Contract, to a waiver of any of its provisions or to any additional

contractual relationship of any kind with the Contractor. Accordingly, no modification or change in the Contract shall be valid and enforceable against UNHCR unless provided by a valid written amendment to the Contract signed by the Contractor and the Director of the Division for Emergency and Supply Management or such other contracting authority.

22.2 If the Contract shall be extended for additional periods in accordance with the terms and conditions of the Contract, the terms and conditions applicable to any such extended term of the Contract shall be the same terms and conditions as set forth in the Contract, unless the Parties shall have agreed otherwise pursuant to a valid amendment concluded in accordance with Article 22.1, above.

22.3 The terms or conditions of any supplemental undertakings, licenses, or other forms of agreement concerning any goods or services provided under the Contract shall not be valid and enforceable against UNHCR nor in any way shall constitute an agreement by UNHCR thereto unless any such undertakings, licenses or other forms are the subject of a valid amendment concluded in accordance with Article 22.1, above.

23. AUDITS AND INVESTIGATIONS:

23.1 Each invoice paid by UNHCR shall be subject to a post-payment audit by auditors, whether internal or external, of UNHCR or by other authorized and qualified agents of UNHCR at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. UNHCR shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by UNHCR other than in accordance with the terms and conditions of the Contract.

23.2 The Contractor acknowledges and agrees that, from time to time, UNHCR may conduct investigations relating to any aspect of the Contract or the award thereof, the obligations performed under the Contract, and the operations of the Contractor generally relating to performance of the Contract. The right of UNHCR to conduct an investigation and the Contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to UNHCR access to the Contractor's premises at reasonable times and on reasonable conditions in connection with such access to the Contractor's personnel and relevant documentation. The Contractor shall require its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNHCR hereunder.

24. LIMITATION ON ACTIONS:

24.1 Except with respect to any indemnification obligations in Article 7, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 18.2, above, arising out of the Contract must be commenced within three years after the cause of action has accrued.

24.2 The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins.

25. **CHILD LABOR:** The Contractor represents and warrants that neither it, its parent entities (if any), nor any of the Contractor's subsidiary or affiliated entities (if any), is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, *inter alia*, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNHCR to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

26. **MINES:** The Contractor warrants and represents that neither it, its parent entities (if any), nor any of the Contractor's subsidiaries or affiliated entities (if any), is engaged in the sale or manufacture of anti-personnel mines or components

utilized in the manufacture of anti-personnel mines. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNHCR to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

27. SEXUAL EXPLOITATION:

27.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by its employees or any other persons engaged and controlled by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all reasonable and appropriate measures to prohibit its employees or other persons engaged and controlled by it from exchanging any money, goods, services, or other things of value, for sexual favors or activities, or from engaging any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of these provisions shall entitle UNHCR to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

27.2 UNHCR shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

28. EXPLOITATION AND ABUSE OF REFUGEES AND OTHER PERSONS OF CONCERN TO UNHCR: The Contractor warrants that it has instructed its personnel to refrain from any conduct that would adversely reflect on UNHCR and/or the United Nations and from any activity which is incompatible with the aims and objectives of the United Nations or the mandate of UNHCR to ensure the protection of refugees and other persons of concern to UNHCR. The Contractor hereby undertakes all possible measures to prevent its personnel from exploiting and abusing refugees and other persons of concern to UNHCR. The failure of the Contractor to investigate allegations of exploitation and abuse against its personnel or related to its activities or to take corrective action when exploitation or abuse has occurred, shall entitle UNHCR to terminate the Contract immediately upon notice to the Contractor, at no cost to UNHCR.

29. PAYMENT INSTRUCTIONS: UNHCR shall, on the fulfillment of the delivery terms, unless otherwise provided in the Contract or purchase order, make payment by bank transfer within thirty days of receipt of the Contractor's invoice for the goods and copies of any other documentation specified in the Contract. Payment against the invoice referred to above will reflect any discount shown under the payment terms agreed among the parties, provided payment is made within the period required by such payment terms. The prices shown in the Contract or the purchase order may not be increased except by express written agreement of UNHCR. Documents are to be sent to the address indicated in the Contract or purchase order.

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UN SUPPLIER CODE OF CONDUCT

United Nations Charter: The values enshrined in the United Nations (UN) Charter, *respect for fundamental human rights, social justice and human dignity, and respect for the equal rights of men and women*, serve as overarching values to which suppliers of goods and services to the UN¹ are expected to adhere.

Global Compact: The Global Compact is a voluntary international corporate citizenship network initiated to support the participation of both the private sector and other social actors to advance responsible corporate citizenship and universal social and environmental principles to meet the challenges of globalization. The UN strongly encourages all suppliers to actively participate in the Global Compact. And to that end, this Code of Conduct has been developed with recognition of the importance of the ten principles of the UN Global Compact, and is viewed as an important means of integrating the Compact's principles into the operations of the UN. The Code of Conduct addresses the issues included in the Compact in the areas of human rights, labour, environment and anti-corruption and interpretation of the Code should be undertaken in a manner consistent with the Global Compact. Suppliers interested in supporting the Global Compact and obtaining more information on the ten principles, can visit the Global Compact website at www.unglobalcompact.org.

International Labour Conventions and Recommendations: The International Labour Standards (i.e., Conventions and Recommendations) as established by the tripartite UN specialized agency, the International Labour Organization (ILO), have served as the foundation on which much of this Code of Conduct is based. It is the UN's expectation that any supplier providing products or services to the UN will, in addition to the values of the UN Charter, adhere to the principles concerning International Labour Standards summarized below in paragraphs 4 – 9.²

1. Scope of Application:

The provisions of this Code of Conduct set forth the UN's expectations for all suppliers that are registered with the UN or with whom it does business. The UN expects that these principles apply to suppliers and their employees, parent, subsidiary or affiliate entities, and subcontractors. The UN expects suppliers to ensure that this Code of Conduct is communicated to their employees, parent, subsidiary and affiliated entities as well as any subcontractors, and that it is done in the local language and in a manner that is understood by all. In order for a supplier to be registered as a UN supplier or to do business with the UN, the supplier is required to read and acknowledge that this Code of Conduct provides the minimum standards expected of UN Suppliers. In addition, suppliers should note that certain provisions of this Code of Conduct will be binding on the supplier in the event the supplier is awarded a contract by the UN pursuant to the terms and conditions of any such contract. Failure to comply with certain provisions may also preclude suppliers from being eligible for a contract award, as reflected in the solicitation documents of one or more organizations in the UN. Prospective suppliers are invited to review the specific terms and conditions of contract and procurement policies of the organization(s) within the UN with which they would like to do business in order to ascertain their current and future eligibility.

2. Continuous Improvement:

The provisions as set forth in this Code of Conduct provide the minimum standards expected of suppliers to the UN. The UN expects suppliers to strive to exceed both international and industry best practices. The UN also expects that its suppliers encourage and work with their own suppliers and subcontractors to ensure that they also strive to meet the principles of this Code of Conduct. The UN recognizes that reaching some of the standards established in this Code of Conduct is a dynamic rather than static process and encourages suppliers to continually improve their workplace conditions accordingly.

¹ In this Code of Conduct, "UN" shall refer to the UN Secretariat, Programmes and Funds of the UN, Specialised Agencies of the UN and all other entities belonging to the UN system, that have adopted this Code of Conduct through the High Level Committee on Management - Procurement Network.

² The full texts of the ILO Conventions and Recommendations can be accessed at: <http://www.ilo.org/global/standards/lang--en/index.htm>

3. Management, Monitoring and Evaluation:

It is the expectation of the UN that its suppliers, at a minimum, have established clear goals toward meeting the standards set forth in this Code of Conduct. The UN expects that its suppliers will establish and maintain appropriate management systems related to the content of this Code of Conduct, and that they actively review, monitor and modify their management processes and business operations to ensure they align with the principles set forth in this Code of Conduct. Supplier participants in the Global Compact are strongly encouraged to operationalize its principles and to communicate their progress annually to stakeholders.

Labour:

4. Freedom of Association and Collective Bargaining: The UN expects its suppliers to recognize the freely-exercised right of workers, without distinction, to organize, further and defend their interests and to bargain collectively, as well as to protect those workers from any action or other form of discrimination related to the exercise of their right to organize, to carry out trade union activities and to bargain collectively.³

5. Forced or Compulsory Labour: The UN expects its suppliers to prohibit forced or compulsory labour in all its forms.⁴

6. Child Labour: The UN expects its suppliers not to employ: (a) children below 14 years of age or, if higher than that age, the minimum age of employment permitted by the law of the country or countries where the performance, in whole or in part, of a contract takes place, or the age of the end of compulsory schooling in that country or countries, whichever is higher; and (b) persons under the age of 18 for work that, by its nature or the circumstances in which it is carried out, is likely to harm the health, safety or morals of such persons.⁵

7. Discrimination: The UN expects its suppliers to ensure equality of opportunity and treatment in respect of employment and occupation without discrimination on grounds of race, colour, sex, religion, political opinion, national extraction or social origin and such other ground as may be recognized under the national law of the country or countries where the performance, in whole or in part, of a contract takes place.⁶ The UN expects its suppliers to take all appropriate measures to ensure that neither themselves nor their parent, subsidiary, affiliate entities or their subcontractors are engaged in any gender-based or other discriminatory employment practices, including those relating to recruitment, promotion, training, remuneration and benefits.

8. Wages, Working Hours and Other Conditions of Work: The UN expects its suppliers to ensure the payment of wages in legal tender, at regular intervals no longer than one month, in full and directly to the workers concerned. Suppliers should keep an appropriate record of such payments. Deductions from wages are permitted only under conditions and to the extent prescribed by the applicable law, regulations or collective agreement, and suppliers should inform the workers concerned of such deductions at the time of each payment. The wages, hours of work and other conditions of work provided by suppliers should be not less favourable than the best conditions prevailing locally (i.e., as contained in: (i) collective agreements covering a substantial proportion of employers and workers; (ii) arbitration awards; or (iii) applicable laws or regulations), for work of the same character performed in the trade or industry concerned in the area where work is carried out.⁷

³These principles are set out in the ILO fundamental Conventions, No. 87, *Freedom of Association and Protection of the Right to Organise*, 1948 and No. 98, *Right to Organise and Collective Bargaining*, 1949.

⁴This principle is set out in the ILO fundamental conventions, No. 29, *Forced Labour*, 1930, its Protocol of 2014 and No. 105, *Abolition of Forced Labour*, 1957.

⁵These principles are set out in the ILO fundamental Conventions, No. 138, *Minimum Age*, 1973 and No. 182, *Worst Forms of Child Labour*, 1999 and in the UN Convention on the Rights of the Child.

⁶These principles are set out in the ILO fundamental Conventions, No. 100, *Equal Remuneration*, 1951 and No. 111, *Discrimination (Employment and Occupation)*, 1958.

⁷These principles are set out in ILO Conventions No. 95, *Protection of Wages*, 1949 and No. 94, *Labour Clauses (Public Contracts)*, 1949 and in a number of Conventions addressing working time (see:

<http://www.ilo.org/global/standards/subjects-covered-by-international-labour-standards/working-time/lang--en/index.htm>).

9. Health and Safety: The UN expects its suppliers to ensure, so far as is reasonably practicable, that: (a) the workplaces, machinery, equipment and processes under their control are safe and without risk to health; (b) the chemical, physical and biological substances and agents under their control are without risk to health when the appropriate measures of protection are taken; and (c) where necessary, adequate protective clothing and protective equipment are provided to prevent, so far as is reasonably practicable, risk of accidents or of adverse effects to health.⁸

Human Rights:

10. Human Rights: The UN expects its suppliers to support and respect the protection of internationally proclaimed human rights and to ensure that they are not complicit in human rights abuses.⁹

11. Harassment, Harsh or Inhumane Treatment: The UN expects its suppliers to create and maintain an environment that treats all employees with dignity and respect. The UN further expects that its suppliers, their parent, subsidiary and affiliated entities as well as any subcontractors, will neither use or engage in, nor allow their employees or other persons engaged by them to use or engage in, any: threats of violence, verbal or psychological harassment or abuse, and/or sexual exploitation and abuse. Sexual exploitation and abuse violate universally recognized international legal norms and standards and have always been unacceptable behaviour and prohibited conduct for the UN. Prior to entering into agreements with the UN, suppliers are informed of the standards of conduct with respect to the prohibition of sexual exploitation and abuse, expected by the UN. Such standards include, but are not limited to, the prohibition of: (1) engaging in any sexual activity with any person under the age of 18, regardless of any laws of majority or consent, (2) exchanging any money, employment, goods, services, or other things of value, for sex, and/or (3) engaging in any sexual activity that is exploitive or degrading to any person. The UN expects its suppliers to take all appropriate measures to prohibit their employees or other persons engaged by the suppliers, from engaging in sexual exploitation and abuse. The UN also expects its suppliers to create and maintain an environment that prevents sexual exploitation and abuse. United Nations contracts will contain provisions concerning a supplier's obligation to take appropriate measures to prevent sexual exploitation and abuse. The failure by a supplier to take preventive measures against sexual exploitation or abuse, to investigate allegations thereof, or to take corrective action when sexual exploitation or abuse has occurred, constitute grounds for termination of any agreement with the United Nations. Moreover, no harsh or inhumane treatment coercion or corporal punishment of any kind is tolerated, nor is there to be the threat of any such treatment.

12. Mines: The UN expects its suppliers not to engage in the sale or manufacture of anti-personnel mines or components utilized in the manufacture of anti-personnel mines.

Environment:

13. Environmental: The UN expects its suppliers to have an effective environmental policy and to comply with existing legislation and regulations regarding the protection of the environment. Suppliers should wherever possible support a precautionary approach to environmental matters, undertake initiatives to promote greater environmental responsibility and encourage the diffusion of environmentally friendly technologies implementing sound life-cycle practices.

14. Chemical and Hazardous Materials: Chemical and other materials posing a hazard if released to the environment are to be identified and managed to ensure their safe handling, movement, storage, recycling or reuse and disposal.

15. Wastewater and Solid Waste: Wastewater and solid waste generated from operations, industrial processes and sanitation facilities are to be monitored, controlled and treated as required prior to discharge or disposal.

⁸These principles are set out in the ILO Conventions, Recommendations and Codes of Practice (see: <http://www.ilo.org/global/standards/subjects-covered-by-international-labour-standards/occupational-safety-and-health/lang-en/index.htm>).

⁹These principles are derived from Universal Declaration of Human Rights (UDHR) and are set out in the United Nations Global Compact (see http://www.unglobalcompact.org/Issues/human_rights/index.html)

16. Air Emissions: Air emissions of volatile organic chemicals, aerosols, corrosives, particulates, ozone depleting chemicals and combustion by-products generated from operations are to be characterized, monitored, controlled and treated as required prior to discharge or disposal.

17. Minimize Waste, Maximize Recycling: Waste of all types, including water and energy, are to be reduced or eliminated at the source or by practices such as modifying production, maintenance and facility processes, materials substitution, conservation, recycling and re-using materials.

Ethical conduct:

18. Corruption: The UN expects its suppliers to adhere to the highest standards of moral and ethical conduct, to respect local laws and not engage in any form of corrupt practices, including but not limited to extortion, fraud, or bribery.

19. Conflict of Interest: UN suppliers are expected to disclose to the UN any situation that may appear as a conflict of interest, and disclose to the UN if any UN official or professional under contract with the UN may have an interest of any kind in the supplier's business or any kind of economic ties with the supplier.

20. Gifts and Hospitality: The UN has a “zero tolerance” policy and does not accept any type of gift or any offer of hospitality. The UN will not accept any invitations to sporting or cultural events, offers of holidays or other recreational trips, transportation, or invitations to lunches or dinners. The UN expects its suppliers not to offer any benefit such as free goods or services, employment or sales opportunity to a UN staff member in order to facilitate the suppliers’ business with the UN.

21. Post employment restrictions: Post-employment restrictions may apply to UN staff in service and former UN staff members who participated in the procurement process, if such persons had prior professional dealings with suppliers. UN suppliers are expected to refrain from offering employment to any such person for a period of one year following separation from service.

Contacts:

Any questions related to this Code of Conduct can be addressed to the High Level Committee on Management - Procurement Network (HLCM-PN) at email: hcmpn.secretariat@one.un.org.

DATE: 17/10/2024

**REQUEST FOR QUOTATION: No. RFQ/009/2024
FOR THE ESTABLISHMENT OF TWO PLUS ONE YEAR (2+1=3) AGREEMENT FOR THE
PROVISION OF CUSTOMS CLEARANCE SERVICES**

ANNEX-G LETTER ON SANCTIONS

CONFIRMATION ON SANCTIONS LIST

This is to confirm that my company with full registration name _____
is not in the list of:

- UN Security Council Consolidated list of individual entities with association to Terrorist Organizations;
- UN Secretariat Procurement Division list of suspended or removed vendors;
- UNGM/World Bank list of suspended or debarred vendors;
- EU sanctioned vendors on its website www.sanctionsmap.eu

Name of Company Representative:

Company Name:

Stamp:

Date:

Signature: _____