

OVERALL PROGRAMME AND FUNDING IN 2001  
AND PROJECTIONS FOR 2002

1. UNHCR's programme and funding projections for 2001 and 2002 were presented to the Executive Committee at its fifty-second session in October 2001, as part of the Annual Programme Budget (A/AC.96/950). The tables that accompany this document provide further updated information on programme expenditure and funding in 2001 (Annex I), a list of transfers from the 2001 Operational Reserve (Annex II), a summary of programmes and funding requirements for 2002 (Annex III), and a list of contributions for 2001 (Annex IV). A draft decision on programme and funding is proposed (Annex V) for approval by the Standing Committee.

I. PROGRAMME AND FUNDING IN 2001

Overall

2. Final expenditure figures for 2001 will be known at the time of the publication of the 2001 accounts. It is anticipated, however, that total expenditure for 2001 will amount to \$ 804.2 million (including \$ 20.4 million under the United Nations Regular Budget). Tentative total expenditure for activities programmed in 2001 and income for the year is provided in Annex I.

3. The revised 2001 total requirements, as approved by the Executive Committee (A/AC.96/959 para 25(c)), were estimated at \$ 874.4 million, including \$ 782.1 million for the Annual Programme Budget, \$ 66.1 million for Supplementary Programme Budgets, \$ 19.2 million for United Nations Regular Budget and \$ 7 million for Junior Professional Officers. The revised budget reflects the results of the strategic programme and budgetary review of UNHCR's operations undertaken by the High Commissioner as "Action 2" in the course of 2001, with a view to identifying savings and aligning total requirements to the projected level of income. It will be recalled that prior to Action 2, total requirements for 2001 stood at \$ 954.9 million, as shared with the Standing Committee at its twenty-first meeting in June 2001 (EC/51/SC/CRP.14).

4. Between the Executive Committee's session in October 2001 and the end of the year, two new Supplementary Programme Budgets have been established - one for the Afghanistan operation (\$ 50 million) and one for support to the Commission for Human Security (\$ 0.9 million), bringing total estimated requirements for Supplementary Programme Budgets to \$117 million for 2001.

5. Contributions to UNHCR's voluntary funds totalled \$ 779.2 million in 2001. Combined with an estimated \$ 39.4 million in secondary income and a contribution of \$ 20.4 million from the United Nations Regular Budget, this gives a total of \$ 839 million as estimated income for 2001. The carry-over brought forward from 2000 was \$ 67.7 million.

### Annual Programme Budget

6. It is anticipated that expenditure under the 2001 Annual Programme Budget will reach a total of \$ 680.6 million. Tentative total expenditure for activities programmed in 2001 and income for the year is provided in Annex I. The revised 2001 Annual Programme Budget approved by the Executive Committee (A/AC.96/959, para 25(c)) amounted to \$782.1 million. The difference of some \$100 million between the revised budget and the level of expenditure is explained mainly by two factors: the active management of an anticipated shortfall in contributions, and, secondly, adjusted implementation rates.

7. Contributions to the 2001 Annual Programme Budget totalled \$ 634.7 million. Combined with an estimated \$ 36.6 million in secondary income, this made a total of \$ 671.3 million in income for 2001. The carry-over brought forward from 2000 was \$ 54.6 million. The carry-over into 2002 could amount to \$ 45.3 million. In addition, a carry-over of \$ 4.4 million from 2001 Supplementary Programme Budgets related to operations in Angola, Eritrea, Sierra Leone and the Global Consultations will be incorporated in the 2002 Annual Programme Budget. The bulk of the carry-over is almost exclusively in the form of earmarked contributions (\$ 40 million).

8. To cover a temporary shortfall pending the receipt of anticipated contributions, UNHCR resorted to the Working Capital and Guarantee Fund in accordance with the provisions of Article 6.4 (f) of the Financial Rules for Voluntary Funds administered by the High Commissioner for Refugees.<sup>1</sup> An amount of \$ 22 million was so used towards covering interim funding needs in November 2001. While \$ 10 million was reimbursed in December 2001, the remaining balance was reimbursed upon receipt of the first contributions in 2002.

9. As part of decisions resulting from "Action 2", the working level of the Operational Reserve was reduced to \$ 71.1 million. Transfers from the 2001 Operational Reserve are shown in Annex II. As mentioned above, these amounted to \$ 34.7 million, of which 44 per cent was for the Africa region, including major allocations for assistance to Congolese refugees, the emergency situation in Guinea and for emergency assistance to Central African refugees in Zongo (Democratic Republic of the Congo). Other major allocations included those for needs in East Timor and for new arrivals in Pakistan.

### Supplementary Programme Budgets

10. Budgets for Supplementary Programmes in 2001 (see Annex I) amounted to \$ 117 million. Total expenditure is estimated at \$ 96 million, including \$ 39.8 million for operations in Afghanistan, \$ 18.9 million for returnees and IDPs in Eritrea and \$ 18.7 million for the voluntary repatriation and reintegration of Sierra Leonean refugees. The remaining expenditure relates to Supplementary Programmes in The former Yugoslav Republic of Macedonia, assistance to IDPs in Angola, support to the Human Security Commission and Global Consultations. Contributions to the 2001 Supplementary Programmes totalled \$ 135.3 million. Combined with an estimated \$ 3.1 million in secondary income, this made a total income of \$ 138.4 million.

11. The total requirement for the Afghanistan operation is currently estimated at \$ 271.1 million for the period 1 October 2001 to 31 December 2002. This total budget includes the 2002 Annual Programme Budget for the region, estimated at \$ 52.5 million, as well as a Supplementary Programme Budget of \$ 218.6 million. The 2001 expenditure for the Supplementary Programme Budget for the Afghanistan operations is currently estimated at \$39.8 million. Income for 2001 is estimated at \$ 78.9 million, giving an estimated carry-over of \$ 39.1 million. As announced by the High Commissioner at the last Pledging Conference, UNHCR will use 50 per cent of the carry-over towards the 2002 Annual Programme Budget for countries in the region of Afghanistan; similarly, new income for 2002 will be divided between the Annual Programme Budget and Supplementary Programme Budget until the Annual Programme Budget for the region is fully funded.

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<sup>1</sup> A/AC.96/503/Rev.7

## II. OVERALL PROGRAMME AND FUNDING PROJECTIONS FOR 2002

12. At its plenary session in October 2001, the Executive Committee approved total requirements under the 2002 budget of \$ 828.6 million (A/AC.96/959.para 25(b)), which includes an amount of \$ 801.7 for the Annual Programme Budget, \$ 19.9 million for the United Nations Regular Budget and \$ 7 million for Junior Professional Officers. The total carry-over into 2002 could amount to \$102.5 million (see Annex 1), the bulk of which would be for Supplementary Programmes.

13. Since the Executive Committee's session, six Supplementary Programme Budgets have been established for 2002, for an estimated amount of \$ 203.6 million. They are as follows: assistance to Afghans in Afghanistan and neighbouring asylum countries (\$ 178.8 million); the situation in The former Yugoslav Republic of Macedonia (\$14.6 million); assistance to East-Timorese refugees in West Timor (Indonesia) (\$ 5.0 million); assistance to East-Timorese returnees (\$ 1.9 million); support to the Secretariat of the Commission for Human Security (\$ 1.3 million); and staff safety and security – UNSECOORD (\$ 2 million) (Annex III refers). A breakdown of activities is contained in the Global Appeal issued in December 2001 and in its Addendum to be issued in February 2002.

14. While the High Commissioner greatly appreciates the pledges of \$ 266.9 million made at the Pledging Conference in Geneva in December 2001, when the Global Appeal and the needs for 2002 were presented, current income projections indicate that, as in previous years, the approved 2002 Annual Programme Budget is unlikely to be funded in its entirety.

15. In view of the low carry-over into 2002 under the Annual Programme Budget, of which the bulk is in earmarked funds, it is of utmost importance that fresh contributions are announced and will be forthcoming soon. The High Commissioner thus appeals to Governments for an early announcement and payment of further contributions to avoid the risk of having to suspend part of the activities planned in 2002.

**UNHCR - 2001 PROGRAMME / FUNDING REQUIREMENTS**  
(projections for 31 December 2001 - in millions of US dollars) as at 7 February 2002

FUNDING SOURCE / PROGRAMME	2001 CURRENT BUDGET	2001 PROJECTED EXPENDITURE	UNOBLIGATED FUNDS CARRIED OVER FROM 2000	2001 FUNDING REQUIREMENTS	PROJECTED INCOME RECEIVED FOR 2001	OTHER ADJUSTMENTS / CANCELLATIONS**	TOTAL PROJECTED INCOME	CURRENT PROJECTIONS FOR CARRY-OVER (SHORTFALL)
	(1)	(2)	(3)	(4) = (2)-(3)	(5)	(6)	(7) = (5)+(6)	(8) = (7)-(4)
<b>ANNUAL PROGRAMME BUDGET</b>								
West and Central Africa	69.8	67.3						
The Great Lakes, East and Horn of Africa	175.2	167.9						
Southern Africa	31.6	29.9						
<b>Sub-total</b>	<b>276.6</b>	<b>265.1</b>	-	-	-			-
Central Asia, South West Asia, North Africa and the Middle East	76.7	66.7						
Asia and the Pacific	56.9	52.3						
Europe	64.2	59.8						
South-Eastern Europe	112.6	101.4						
<b>Sub-total</b>	<b>176.8</b>	<b>161.2</b>	-	-	-			-
The Americas	22.9	22.6						
Global Operations	60.2	46.2						
Headquarters	75.6	66.5						
<b>Sub-total Annual Programme Budget</b>	<b>745.7</b>	<b>680.6</b>	<b>44.6</b>	<b>636.0</b>	<b>634.7</b>	<b>36.6</b>	<b>671.3</b>	<b>35.3</b>
Operational Reserve	36.4	-	10.0	(10.0)				10.0
<b>TOTAL ANNUAL PROGRAMME BUDGET</b>	<b>782.1</b>	<b>680.6</b>	<b>54.6</b>	<b>626.0</b>	<b>634.7</b>	<b>36.6</b>	<b>671.3</b>	<b>45.3</b>
Junior Professional Officer Scheme	7.0	7.2	6.9	0.3	9.2	(0.3)	8.9	8.6
UN Regular Budget	19.2	20.4	-	20.4	20.4	-	20.4	-
<b>TOTAL ANNUAL BUDGET</b>	<b>808.3</b>	<b>708.2</b>	<b>61.5</b>	<b>646.7</b>	<b>664.3</b>	<b>36.3</b>	<b>700.6</b>	<b>53.9</b>
<b>SUPPLEMENTARY BUDGET</b>								
Ass. to Internally Displaced Persons in Angola*	5.5	5.6	1.1	4.5	5.1	0.5	5.6	1.1
Eritrean Returnees and IDPs*	23.0	18.9	3.8	15.1	15.1	1.1	16.2	1.1
Vol. Rep. & Reint. of Sierra Leonean Ref.*	19.0	18.7	1.3	17.4	17.7	1.2	18.9	1.5
Situation in the Former Yug. Rep. of Macedonia	17.5	11.3	-	11.3	16.4	-	16.4	5.1
Ass. to Afghans in Afghanistan & other Countries	50.0	39.8	-	39.8	78.8	0.1	78.9	39.1
Support to the Commission for Human Security	0.9	0.9	-	0.9	0.9	-	0.9	-
Global Consultations*	1.1	0.8	-	0.8	1.3	0.2	1.5	0.7
<b>TOTAL SUPPLEMENTARY BUDGET</b>	<b>117.0</b>	<b>96.0</b>	<b>6.2</b>	<b>89.8</b>	<b>135.3</b>	<b>3.1</b>	<b>138.4</b>	<b>48.6</b>
<b>TOTAL REQUIREMENTS</b>	<b>925.3</b>	<b>804.2</b>	<b>67.7</b>	<b>736.5</b>	<b>799.6</b>	<b>39.4</b>	<b>839.0</b>	<b>102.5</b>

\*carry-over as Annual Programme Budgets in 2002

\*\* Includes loan from the Working Capital Fund of US \$ 12 million

**TRANSFERS FROM THE 2001 OPERATIONAL RESERVE**  
**through 31 December 2001**  
**(in US dollars)**

**EC/52/SC/CRP.3**  
**Page 5**  
**Annex II**

<b>1. Operational Reserve approved by the EXCOM Session held in October 2000 (Doc. A/AC.96/932 refers)</b>	<b>79,309,359</b>
Reduction following Action 2	8,232,159
<b>Revised Operational Reserve</b>	<b>71,077,200</b>

**2. Transfers from the Operational Reserve (by regions/countries)**

**AFRICA**

- Congo, Dem. Rep. of	Emergency assistance to Central African refugees in Zongo	2,000,000
- Congo, Dem. Rep. of	Repatriation assistance to Sudanese refugees in Aru	129,740
- Congo, Rep. of	Continued protection/assistance to Congolese (DRC) refugees	3,655,893
- Côte d'Ivoire	Education project in Côte d'Ivoire	1,047,100
- Eritrea	Strengthening reproductive health in communities in crisis	60,000
- Ethiopia	Repatriation of pre-1991 Ethiopian refugees from Sudan	650,100
- Guinea	Creation of additional posts due to emergency situation	1,013,300
- Guinea	Emergency situation in Guinea	2,343,000
- Kenya	Implementation of management reform (UNHCR/Kenya)	1,504,155
- Malawi	Assistance to flood victims	25,000
- Mozambique	Assistance to flood victims	25,000
- Mozambique	Support costs to cover new influx of refugees in Mozambique	59,700
- Namibia	Support costs to cover new influx of refugees in Namibia	212,500
- Regional project	Increase to DAFI scholarship programme	60,690
- Sierra Leone	Creation of additional posts due to emergency situation	636,700
- Sudan	Repatriation of pre-1991 Ethiopian refugees from Sudan	99,900
- Zambia	Support costs to cover new influx of refugees in Zambia	1,059,096
- Zambia	Assistance to Angolans in Ukwimi	543,740
Sub-Total		<b>15,125,614</b>

**ASIA AND THE PACIFIC**

- Cambodia	Assist. to Vietnamese Montagnards preparation for their eventual return	625,742
- China	Protection/Monitoring of North Koreans	122,800
- China	Protection/Assistance to increased number of asylum-seekers	474,251
- East Timor	Additional costs due to adjustments to UNHCR's phase down plan	2,204,934
- India	Assistance to earthquake victims	25,000
- Indonesia	Relocation of the Regional Office Jakarta to more secure premises	102,886
- Indonesia	Refugee Law & Human Rights training	845,000
- Japan	Regional emergency training centre	490,062
- Myanmar	Continuation of the operation in Myanmar	1,100,000
- Papua New Guinea	Resettlement process in Republic of Nauru	181,028
- Philippines	Durable solutions for residual Vietnamese caseload in Palawan	129,430
Sub-Total		<b>6,301,133</b>

**EUROPE**

- Azerbaijan	Local settlement of IDPs and Refugees in Azerbaijan	365,989
- Regional project	Increase to DAFI scholarship programme	12,600
<b>Sub-Total</b>		<b>378,589</b>

**AMERICAS**

- Costa Rica	Increased number of Asylum-Seekers (mainly Colombians)	150,000
- Cuba	Assistance to population affected by hurricane Michelle in Cuba	25,000
- Cuba	Return of Saharawi refugees	155,000
- The Caribbean	Workshop for regional refugee response	61,000
- Latin America	Assistance to earthquake victims in El Salvador	30,000
Sub-Total		<b>421,000</b>

**CASWANAME**

- Pakistan	New arrivals in North-West Frontier Province (NWFP)	2,950,000
- Pakistan	Protection and resettlement activities in Pakistan	300,000
- Pakistan	Screening operation in Pakistan	684,535
- Regional project	Increase to DAFI scholarship programme	44,300
- Saudi Arabia	Termination costs for project staff in the UAE	134,194
Sub-Total		<b>4,113,029</b>

**GLOBAL OPERATIONS**

	Investigation into irregularities in the resettlement process in Kenya	345,000
	Increased requirements of DAFI scholarship programme	13,768
	Prevention and response to sexual and gender-based violence	180,000
	Learning programme for training in Staff Development	67,600
	Enhancing staff security	1,115,630
	Imagine Coexistence Project (Reintegration Local Settlement Section)	809,372
	Replenishment of the Central Emergency Stockpile	850,000
	Promotion & develop awareness of Nansen Refugee Award	100,000
	Resettlement activities	1,156,000
	Strengthening of the evaluation function in UNHCR	520,000
	Strengthening reproductive health in communities in crisis	288,665
	Translation of 2nd edition of the Emergency Handbook	100,140
	Additional travel/equipment needs in Emergency and Security Service	42,900
	World Refugee Day toolkit and new projection system for Visitor's Centre	110,000
Sub-Total		<b>5,699,075</b>

**HEADQUARTERS**

	Enhancing staff security	1,418,556
	Enhancing records management database	65,000
	Imagine Coexistence Project (Reintegration Local Settl. Section)	190,628
	Refugee education trust	200,000
	Continuation of some activities in Centre for Doc. & Research	115,000
	Temporary Assistance in DRRM for donor reporting (UK)	149,059
	Public awareness campaign	17,010
	Working group on rotation	493,714
Sub-Total		<b>2,648,967</b>

**Total transferred** **34,687,407**

**3. Balance** **36,389,793**

**UNHCR - 2002 PROGRAMME / FUNDING REQUIREMENTS**  
(projections - in million of US Dollars)

EC/52/SC/CRP.3  
Page 7, Annex III

<b>FUNDING SOURCE / PROGRAMME</b>	<b>2002 PROGRAMME NEEDS</b>	<b>TENTATIVE ESTIMATES FOR UNOBLIGATED FUNDS ANTICIPATED TO BE CARRIED OVER FROM 2001</b>	<b>2002 FUNDING REQUIREMENTS</b>
<b>A - ANNUAL PROGRAMME BUDGET</b>			
<b>Programmed Activities</b>			
Great Lakes	77.9		
East and Horn of Africa	111.2		
West and Central Africa	65.9		
Southern Africa	39.7		
<b>Sub-total</b>	<b>294.7</b>		
North Africa	7.1		
The Middle East	16.6		
South-West Asia	59.8		
Central Asia	6.8		
South Asia	21.7		
East Asia and the Pacific	18.0		
Eastern Europe	30.8		
South-Eastern Europe	88.1		
Central Europe and the Baltic States	13.4		
Western Europe	14.3		
North America and the Caribbean	6.3		
Central America	4.6		
South America	12.0		
Global Operations	63.2		
Headquarters	71.4		
Reimbursement of Loan from Working Capital Fund			12.0
Carry-over from 2001 Supplementary Budgets (Angola / Eritrea / Sierra Leone / Global Consultations)		4.4	
<b>Total Programmed Activities</b>	<b>728.8</b>	<b>39.7</b>	<b>701.1</b>
Operational Reserve	72.9	10.0	62.9
<b>TOTAL ANNUAL PROGRAMME BUDGET</b>	<b>801.7</b>	<b>49.7</b>	<b>764.0</b>
Junior Professional Officers	7.0	8.6	-
UN Regular Budget	19.9	-	19.9
<b>TOTAL ANNUAL BUDGET</b>	<b>828.6</b>	<b>58.3</b>	<b>783.9</b>
<b>B/ SUPPLEMENTARY BUDGET</b>			
Situation in Former Yug. Rep. of Macedonia	14.6	5.1	9.5
Ass. to Afghans in Afghanistan & other Countries	178.8	39.1	139.7
Assistance to East Timorese Ref. In West Timor	5.0	-	5.0
Assistance to East Timorese Returnees	1.9	-	1.9
Improving Security & Safety of Staff - UNSECOORD	2.0	-	2.0
Support to the Commission for Human Security	1.3	-	1.3
<b>Total Supplementary Budget</b>	<b>203.6</b>	<b>44.2</b>	<b>159.4</b>
<b>TOTAL REQUIREMENTS</b>	<b>1,032.2</b>	<b>102.5</b>	<b>943.3</b>

	DONOR	INCOME
1	Government of the United States of America	244,707,702
2	Government of Japan	90,864,323
3	European Commission	65,699,462
4	Government of the Netherlands	57,912,262
5	Government of Sweden	41,584,542
6	Government of Norway	38,053,373
7	Government of Denmark	37,329,450
8	Government of the United Kingdom	36,141,662
9	Government of Germany	29,233,868
10	Government of Italy	25,421,075
11	Government of Canada	17,140,553
12	Government of Switzerland	13,614,653
13	Government of Australia	11,935,759
14	Government of Finland	11,847,287
15	Government of France	8,553,640
16	Government of Belgium	7,119,041
17	Private donors Italy	6,615,095
18	Government of Ireland	4,845,234
19	Private donors United States of America	3,199,693
20	Government of Spain	3,112,799
21	Private donors Japan	3,001,074
22	Government of Luxembourg	1,768,090
23	Government of the Republic of Korea	1,709,633
24	International Bank for Reconstruction and Development	1,700,000
25	United Nations Trust Fund for Human Security, Gov of Japan	1,250,000
26	Private donors Spain	1,239,045
27	Private donors Germany	1,171,477
28	Government of Venezuela	1,000,000
29	Government of New Zealand	960,150
30	Government of Greece	821,550
31	Private donors United Kingdom	807,480
32	Private donors Libyan Arab Jamahiriya	752,030
33	Government of Austria	728,439
34	Private donors Switzerland	655,270
35	Private donors France	477,684
36	Private donors Kuwait	466,138
37	Private donors Netherlands	456,231
38	Government of South Africa	400,186
39	Government of Portugal	400,000
40	Private donors Australia	331,881
41	Government of China	312,700
42	Council of Europe	275,482
43	Private donors Greece	259,953
44	Government of Kuwait	246,445
45	Government of Saudi Arabia	200,306
46	OPEC Fund	200,000
47	Private donors Jordan	171,630
48	Government of Morocco	167,608
49	Government of the Slovak Republic	151,658
50	Government of Turkey	150,000
51	Government of Nigeria	139,744
52	Government of Iceland	126,872
53	Government of Czech Republic	124,855
54	Private donors Norway	119,961
55	Government of Mexico	103,446
56	Private donors Pakistan	101,895
57	Government of Monaco	101,827
	<b>Sub-total</b>	<b>777,982,213</b>
	REMAINING DONORS ( 52)	1,261,077
	<b>TOTAL</b>	<b>779,243,290</b>
	UN Regular Budget	20,423,100
	<b>GRAND TOTAL</b>	<b>799,666,390</b>

NB: Private donors include Non-Governmental Organizations, Foundations and Private donors.

1/ Excludes: - non-cash contributions to UNHCR from States hosting refugee populations  
- secondary income



DRAFT DECISION ON OVERALL PROGRAMME  
AND FUNDING IN 2001 AND PROJECTIONS FOR 2002

*The Standing Committee,*

1. *Recalling* the Executive Committee's decision at its fifty-second session on administrative, financial and programme matters (A/AC.96/950 para. 15) as well as its discussions under item 4(i) at the twenty-third meeting of the Standing Committee;
2. *Recalling also* the consultations on funding mechanisms held on 30 November 2001, in application of the decision reached at the Standing Committee's twenty-first meeting (A/AC.96/956 Annex I/A);
3. *Reaffirms* its continued support for efforts by the High Commissioner to review priorities and funding mechanisms and records its appreciation of being kept regularly informed of progress made, with a view to a substantive and transparent discussion of the budget prior to its adoption by the Executive Committee;
4. *Notes* that UNHCR's overall needs for 2002, based on currently known requirements, amount to US\$ 828.6 million, as approved by the Executive Committee at its fifty-second session (which includes the amount of \$ 19.9 million from the United Nations Regular Budget and \$ 7 million for Junior Professional Officers), and an additional \$ 203.6 million for six supplementary programmes;
5. *Recalls* that the Pledging Conference held on 3 December 2001, yielded a total of \$ 266.9 million in pledges and notes that this was an encouraging achievement for this Conference, held for the first time in Geneva;
6. *Emphasises* the importance of adequate, early and predictable funding for UNHCR's programmes on the part of the international community, so as to avoid the introduction of austerity measures, including the imposition of limits on obligation levels for programmes in the latter part of the year, and hence the need for a firm indication of pledges by mid-2002;
7. *Acknowledges* with appreciation the burden shouldered by developing and least developed countries hosting refugees, and recommends that further consultations be undertaken to quantify and reflect such burdens appropriately in UNHCR's documentation;
8. *Encourages* governments to ensure that in formulating their national budgets sufficient resources are allocated as contributions to UNHCR to enable the Office to fulfil its mandate.